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## UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 02344.P037X

Total Pages 3

First Named Inventor or Application Identifier Norman F. Krasner

Express Mail Label No. EL 431 891 555 US

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D. C. 20231

### APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. X Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. X Specification (Total Pages 27)  
(preferred arrangement set forth below)
  - Descriptive Title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claims
  - Abstract of the Disclosure
3. X Drawings(s) (35 USC 113) (Total Sheets 6)
4. X Oath or Declaration (Total Pages 4)
  - a.      Newly Executed (Original or Copy)
  - b.      Copy from a Prior Application (37 CFR 1.63(d))  
(for Continuation/Divisional with Box 17 completed) (**Note Box 5 below**)
  - i.      **DELETIONS OF INVENTOR(S)** Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5.      Incorporation By Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6.      Microfiche Computer Program (Appendix)
7.      Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a.      Computer Readable Copy
  - b.      Paper Copy (identical to computer copy)
  - c.      Statement verifying identity of above copies

12/01/97

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Serial/Patent No.: \*\*\*

Client: SnapTrack, Inc.

Filing/Issue Date: July 20, 2000

Title: METHOD FOR DETERMINING A CHANGE IN A COMMUNICATION SIGNAL AND USING THIS INFORMATION TO IMPROVE SPS SIGNAL RECEPTION AND PROCESSING

BSTZ File No.: 02344.P037X

Date Mailed: 7-20-00

Atty/Secty Initials: JCS/clt

Docket Due Date: 7-20-00

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- ☐ Disclosure Docs & Orig & Copy of Invention Signed Letter (\_\_\_\_ pgs.)
- ☒ Drawings: 6 # of sheets includes 6 figures

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- ☐ Information Disclosure Statement & PTO 149 (\_\_\_\_ pgs.) ☐ Check No. \_\_\_\_\_
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02344.P037X

UNITED STATES PATENT APPLICATION

FOR

**METHOD FOR DETERMINING A CHANGE IN A COMMUNICATION SIGNAL  
AND USING THIS INFORMATION TO IMPROVE SPS SIGNAL RECEPTION  
AND PROCESSING**

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## 15

It is known that the motion of mobile satellite position system (SPS) receivers (e.g. a Global Positioning System receiver), particularly while attempting to acquire SPS signals from an SPS satellite, will effect the position determination function of the SPS receiver. The faster the velocity of the mobile SPS receiver, the more time consuming is the acquisition/search process. Tracking (after acquisition) of SPS signals is also effected by faster velocities of the mobile SPS receiver. These problems are caused by Doppler effects from the motion of the SPS receiver, which are compounded by the motion of the orbiting SPS satellites which are moving at high velocities. Several techniques are known in the art for predicting the Doppler effects due to the motion of the SPS satellites. These techniques are particularly useful for stationary SPS receivers (which may be capable of moving). Such techniques are described in U.S. Patent Nos. 4,445,118 and 5,841,396; and PCT Publication No. WO 98/25157. These techniques usually assume that the SPS receiver is essentially stationary (e.g. fixed on the surface of the earth or moving slowly, such as the speed

5

SUMMARY OF THE INVENTION

The present invention relates to methods and apparatuses for determining a change in a communication signal and using information related to this change to affect the processing (e.g. reception of) SPS signals. In one exemplary method, a change in a communication signal received by a communication receiver is determined. A parameter, based on the change, is determined, and SPS signals from SPS satellites are processed according to the parameter. For example, the parameter may be representative of a motion information which may be used to determine a frequency range for searching for SPS signals in the process of acquiring the SPS signals from at least one SPS satellite. Examples of such motion information include a fade rate or time between fades of the envelope of the communication signal. In an alternative example, a change in the level of the communication signal transmitted by a communication transceiver is monitored, or the commands for altering such a level are monitored.

Apparatuses are also described, such as a mobile communication system which includes an SPS receiver and a communication receiver.

### BRIEF DESCRIPTION OF THE DRAWINGS

The present invention is illustrated by way of example, and not by limitation, in the figures of the accompanying drawings in which like references indicate similar elements.

5           **Figure 1** shows a flowchart which depicts an exemplary method according to one aspect of the present invention.

**Figure 2** shows a flowchart which shows another method according to the present invention.

**Figure 3** shows an example of an apparatus according to the present  
10 invention.

**Figure 4** shows an example of a measured Rayleigh envelope.

**Figure 5** shows an example of a graph depicting signal level crossing rate relative to a specified level in decibels.

**Figure 6** shows an example of a graph depicting mean fade duration for  
15 various vehicle speeds.



DETAILED DESCRIPTION

Methods and apparatuses signals are described for determining a change in a communication signal and using information related to this change in processing SPS.

The following description and drawings are illustrative of the invention and are not to

5 be construed as limiting the invention. In the following description, for purposes of explanation, numerous specific details are set forth in order to provide a thorough understanding of the present invention. It will be evident, however, to one skilled in the art that the present invention may be practiced without these specific details. In other instances, well known structures and devices are shown in block diagram form  
10 to facilitate explanation.

This invention, in one embodiment, deals with processing satellite positioning system (SPS) signals, such as GPS (Global Positioning System) signals from GPS satellites, when the SPS receiver is capable of undergoing movement. Under such conditions when the SPS receiver is moving, the frequencies of the GPS signals are  
15 shifted due to the Doppler effect from the motion of the SPS receiver. This makes it more difficult to acquire GPS signals and also can cause difficulties in tracking the signals. If an auxiliary source of information were available to provide motion information to the GPS receiver, the receiver could utilize this information to alter its acquisition strategies. For example, if it were known that there was little motion, then  
20 the Doppler effect (due to motion of the GPS receiver) would be minor and the GPS receiver could avoid long searches over wide Doppler regions, a process that often results in very long acquisition times.

This invention, in one embodiment, provides a method for determining such platform motion, when the GPS receiver is integrated with, or is in proximity with an operational communications device.

This invention has the advantage in that specialized external equipment used to  
5 determine motion, such as accelerometers, gyros, speedometers, etc. may be avoided.  
Such equipment may be expensive, require extensive installation, and may be  
unwieldy, especially for cost sensitive mobile consumer applications.

A preferred embodiment of the invention is a cell phone with an integrated GPS receiver. However, the invention will work equally well for cell phones or other communication devices that are in communication with a cell phone (e.g. over a serial wired link or even a short range wireless link), where the cell phone is in close proximity to the communication system and where both share similar platform motion.

A method, according to one embodiment of the invention, observes the fluctuations of the envelope or other signal parameters of the communication signal and derives from these fluctuations velocity information, which is then used to determine how to process (e.g. search for) GPS signals.

It is well known that in mobile situations cellular type signals undergo rapid signal level fluctuations due to interference from received signals provided to the mobile from several paths. For example, a cellular signal may be received directly from a cell phone tower and may be received after being reflected off the ground. Alternatively, a cell phone signal may be received from a multiplicity of reflections off nearby buildings.

In a reflection environment it is known that the probability distribution of the envelope of a received signal, especially if it is narrowband, follows a Raleigh probability distribution. Furthermore, as one moves along a line the received signal typically goes from a peak value to a small value within a distance of one-half carrier wavelength. This fading is actually statistical so that the amplitude of the envelope is nearly statistically independent between measurements when such measurements are made 1/2 wavelength apart. A discussion on the fading phenomena, including measurements is provided in G.A. Arrendondo, et al., "Advanced Mobile Phone Service, Voice and Data Transmission," pp. 303-315, Bell System Technical Journal, January 1979.

For example, at 850 MHz, a cell phone band, the independent fades are around 7 inches apart. Thus, as a vehicle or other moving platform moves, the envelope will appear to move up and down, and the average rate at which this motion occurs is a function of the speed of the platform. One measure of this rate is found by the so-called level crossing rate. The mean value of the envelope is found and a level is set a prescribed amount below it, for example 10 dB below the mean value. The number of occurrences are measured over a period of time, say 10 seconds, when the signal level drops below this lower threshold. The rate of such threshold crossings is a measure of the fade rate. For example, at around 850 MHz it can be shown that at a speed of 20 miles per hour the fade rate for a -10 dB threshold relative to the mean is around 18 times per second. An alternative measure is the average duration of fades below a threshold. At 20 miles per hour at 850 MHz the average fade duration is around 5 msec. The fade rate is proportional to the vehicle speed and is also

proportional to the frequency of the received signal. Similarly, the fade duration is inversely proportional to these quantities.

Accordingly, an exemplary method of the invention monitors the received signal of a cell phone or other communication device in proximity to or integrated with a GPS receiver, and uses the fading statistics of the received communication signal's carrier, or other multipath related statistics of the received signal, to estimate the speed of the mobile platform. The estimated speed of the mobile platform is used to control the acquisition or processing of GPS signals received by the GPS receiver in proximity to the communication device. The acquisition of a GPS signal often involves a search for the signal's carrier frequency. This frequency is in turn altered by two sources of Doppler: (1) Doppler due to the GPS satellite's motion relative to the earth and (2) Doppler due to the receiver platform's motion. Knowing the platform's speed then assists in developing an appropriate acquisition strategy. For example, if the estimated speed is low (suggesting that the platform is essentially stationary), then the amount of frequency (or Doppler) searching required to acquire a GPS signal from a GPS satellite may be reduced. On the other hand, if the estimated speed is high, then the amount of such frequency searching may be increased. Intermediate levels of Doppler searching may be performed when the estimated speed is between a low level and a high level. Thus, the level of Doppler uncertainty may be determined from the estimated speed (obtained from the fading statistics) and this level of Doppler uncertainty may be used to determine the level of searching for Doppler shifts (e.g. the number of Doppler bins to search may be based on the level of Doppler uncertainty).

Models for fading phenomena for mobile systems are provided in Lee (see William C.Y. Lee, Mobile Communications Design Fundamental, pp. 100-105, Howard Sams & Co., 1986). The model produces equations for the number of level crossings (one direction only--e.g. positive) per second,  $n(R)$  and the time below a given level  $R$  (called the "fade duration") are:

$$n(R) = \sqrt{2\pi} (V/\lambda) \text{Re}^{-R^2} \quad (1)$$

$$t(R) = \frac{1 - e^{-R^2}}{\sqrt{2\pi} (V/\lambda) \text{Re}^{-R^2}} \quad (2)$$

10

Here  $R$  is the level normalized by the RMS signal level,  $V$  is the mobile velocity and  $\lambda$  is the signal wavelength. Note that the level crossing rate is proportional to frequency and the fade duration is inversely proportional. For example, for a vehicle going 30 miles per hour, or 13.4 meters/sec, and a level set to 10 dB below the RMS we get for these quantities,  $n(R) = 27.2$  crossings per second and 3.49 milliseconds. Of course these numbers are averages and an individual measurement over a short period, such as one second, may differ significantly from these averages, due to statistical fluctuations and variations from the model used to predict these numbers. Nevertheless these equations are good predictors of trends.

20

**Figure 1** shows a flowchart depicting one generalized example of the present invention. In operation 101, a change in a communication signal is determined. This communication signal may be a cellular telephone signal which employs a code division multiple access (CDMA) technique for distinguishing between different receivers. Alternatively, it may be an AMPS analog cellular system, or a GSM time

division multiple access cellular system, or even a paging type system. The change which is typically measured is a change over time in a signal amplitude or other signal level of the received communication signal. As noted above, one such measurement is the signal level crossing rate. Operation 102 determines a parameter from this change  
5 which has been measured in operation 101. This parameter is used for processing SPS signals. The processing of SPS signals occurs in operation 103, and the manner in which the SPS signals are processed is determined at least in part based upon the parameter.

**Figure 2** illustrates a more specific method according to one embodiment of  
10 the present invention. In operation 201, a change is determined in the cellular communication signal. As noted in **Figure 2**, this change may be a measurement in the signal level crossing rate. In operation 203, an approximate motion information is determined from the change in the cellular communication signal. This may be performed by a lookup table or other techniques for converting from the measured  
15 information regarding the change in the cellular communication signal to an approximate motion information. For example, equations (1) and (2) may be used, or the Bell System Technical Journal referred to above shows various graphs which may be employed to convert from the change measured in operation 201 into a motion information determined in operation 203.

20 The motion information will then be used in operation 205 in order to determine a Doppler search window based upon the approximate motion information. Those in the art will recognize that motion information which indicate a faster speed will require a wider search window in frequency than motion information which

indicate a lower speed. In operation 207, a pseudorange to at least one SPS satellite is determined by searching in this Doppler search window specified in operation 205 in order to acquire SPS signals. Then in operation 209, the position of the SPS receiver may be determined using conventional techniques.

- 5           It will be appreciated that the foregoing methods may be practiced with other techniques known in the art, including those which utilize Doppler information relative to the orbiting SPS satellites. For example, U.S. Patent 5,841,396 describes a technique for providing approximate Doppler information to a mobile SPS receiver or for use with a mobile SPS receiver. This Doppler information may be used in
- 10   conjunction with the approximate motion information of the present invention in order to determine an appropriate search window over an appropriate frequency range based upon the Doppler associated with the SPS satellite as well as the Doppler associated with the motion information determined according to the present invention.
- Furthermore, various cell based information sources may be used as described in PCT
- 15   Publication No. WO 98/25157. For example, the determination of a specific cell site which is in communication with the communication receiver may specify a Doppler information with respect to at least one SPS satellite and this Doppler information may be used in conjunction with the motion information determined according to the present invention in order to specify a frequency range/search window in the
- 20   acquisition process of an SPS signal. Thus, it will be appreciated that the present invention may be combined with other techniques for improving the acquisition or tracking of SPS signals such as those described in U.S. Patent 5, 841, 396, which is

hereby incorporated herein by reference, and co-pending U.S. patent application 08/842,559, filed April 15, 1997, which is hereby incorporated herein by reference.

**Figure 3** shows one embodiment of a mobile system 301 according to the present invention. The mobile system 301 includes a cellular communication

5 transceiver 303 and an SPS receiver 307, each of which is coupled to a communication signal measurement unit 305. The communication signal measurement unit 305 is coupled by channel 309 to the cellular communication transceiver 303. It will be appreciated that the measurement unit 305 may be considered part of or integrated with the cellular communication transceiver 303. For

10 example, many cellular communication transceivers include signal measurement units. The SPS receiver 307 is coupled to the communication signal measurement unit 305 by a channel 312 which provides a measurement or a parameter for use by the SPS receiver 307 according to the techniques and methods described herein. The SPS receiver 307 may optionally be coupled to the cellular communication transceiver 303

15 by an optional channel 311 which may be used to supply Doppler information or other assistance information to the SPS receiver 307 through a cellular communication link to a base station or other source (e.g. SPS servers such as those described in co-pending U.S. patent application 08/842,559, filed April 15, 1997). Cellular communication signals are received and/or transmitted through antenna 304. SPS

20 signals from SPS satellites are received through SPS antenna 308. It will be appreciated that in certain embodiments these antennas 304 and 308 may be shared. The SPS receiver 307 may be a conventional GPS receiver or it may be a GPS receiver such as that described in U.S. Patent 6,002,363 or the GPS receivers



described in U.S. Patent 5,663,734. Other types of SPS receivers may also be utilized, such as conventional correlation based GPS receivers. The cellular communication transceiver 303 may be a CDMA cellular telephone transceiver or a GSM cellular telephone transceiver, an AMPS transceiver, or a variety of others commonly in use. The communication signal measurement unit 305 is coupled by channel 309 to a source in transceiver 303 to the received cellular signal in order to measure the cellular signal. The measurement of this cellular signal may be according to conventional techniques for measuring the signal level crossing rate as described herein. The measurement of this signal level crossing rate may then be used to determine a motion information as described herein. For example, a lookup table which converts from the signal level measuring rate to an estimated velocity may be performed by the measurement unit 305. This velocity may then be provided by channel 312 to the SPS receiver 307 which accordingly modifies the frequency search window for acquiring SPS signals based upon the approximate motion information specified by the measurement unit 305.

**Figure 4** shows an example of a measured Rayleigh envelope where, at a speed of 12 miles per hour (MPH) the signal's level varies. This changing signal level can be measured in various ways and depicted in graphs. These graphs may be used to create lookup tables which can be used to determine the speed of a moving communication system. **Figure 5** shows an example of a graph of the signal crossing rate relative to level in decibels. A measured signal level crossing rate may be used to derive an estimated speed from graphs such as **Figure 5**. **Figure 6** is an example of a graph showing mean fade duration for various vehicle speeds; a

measured mean fade duration may be used to derive an estimated speed from graphs such as **Figure 6**.

#### Other Measures of Speed

As indicated previously a variety of methods are possible to estimate the speed of the platform based upon the received communication signal's statistics. The variation in the envelope of this signal is convenient. This variation may be performed by examining an AGC control voltage or signal that is used to control the communication signal's level within the receiving chain. Often the average received signal level is provided as a measure of receiver strength, a so-called RSSI (receiver signal strength indicator). However, in some situations this RSSI is not measured at a rapid rate and hence the ability to determine rapid envelope fluctuations may be compromised.

Another method of monitoring the variation of the communication signal's strength is to monitor power control commands of the cellphone (or other mobile communication device) transmitter. In CDMA systems, it is often the case that a cellular basestation sends power control commands to the mobile and in turn the mobile's transmit power is adjusted such that the basestation receives the mobile's signal at a prescribed level. This is called closed loop power control. Often these power control commands occur at a high rate, such as once per millisecond, so that the mobile's transmitter can compensate for Rayleigh fading as observed by the basestation. The power control commands are typically up/down commands with small increments, such as 1 dB. These commands can be converted to actual transmit levels by accumulating such increments. Using this technique of monitoring the





What is claimed is:

- 1 1. A method for determining a position of a mobile satellite positioning system  
2 (SPS) receiver which is coupled to a communication receiver, said method  
3 comprising:  
4 determining a change in a communication signal received by said  
5 communication receiver;  
6 determining a parameter, based on said change, and processing SPS signals in  
7 said SPS receiver in a manner specified by said parameter.
- 1 2. A method as in claim 1 wherein said communication signal is a cellular signal  
2 transmitted from a wireless cell site.
- 1 3. A method as in claim 2 wherein said cellular signal uses a code-division  
2 multiple access (CDMA) technique to distinguish between different communication  
3 receivers.
- 1 4. A method as in claim 2 wherein said cellular signal uses a time-division  
2 multiple access (TDMA) technique to distinguish between different communication  
3 receivers.



4 a communication receiver which receives a communication signal;  
5 a communication signal measurement (CSM) unit coupled to said  
6 communication receiver and coupled to said SPS receiver, said CSM  
7 unit determining a change in said communication signal which  
8 specifies a manner for processing said SPS signals.

1 12. A mobile communication system as in claim 11, wherein said CSM unit  
2 determines a parameter based on said change and wherein said parameter specifies  
3 said manner.

1     13.     A mobile communication system as in claim 12 wherein said communication  
2     signal is a cellular signal transmitted from a wireless cell site.

1 14. A mobile communication system as in claim 13 wherein said cellular signal  
2 uses one of (a) a code division multiple access (CDMA) or (b) a time division multiple  
3 access (TDMA) technique to distinguish between different communication receivers.

1 15. A mobile communication system as in claim 12 wherein said change is a  
2 change in signal level as said mobile communication system moves and wherein said  
3 parameter is a motion information of said mobile communication system.

1 17. A mobile communication system as in claim 16 wherein when said motion  
2 information specifies a first velocity, said search range is a first range, and when said  
3 motion information specifies a second velocity, said search range is a second range.

1 19. A mobile communication system as in claim 15 wherein said SPS receiver  
2 determines at least one pseudorange to at least one SPS satellite in view of said SPS  
3 receiver.

1     21.     A method for determining a position of a mobile satellite positioning system  
2     (SPS) receiver which is coupled to a communication transceiver, said method  
3     comprising:



4 determining a change in a communication signal transmitted by said  
5 communication transceiver;  
6 determining a parameter, based on said change, and processing SPS signals in  
7 said SPS receiver in a manner specified by said parameter.

1     22.     The method of claim 21 wherein said change is a change in a power level  
2     determined by monitoring power control commands received by the communication  
3     transceiver over a communication link.

1     23.     The method of claim 21 wherein said change is a power level determined by  
2     monitoring power control commands provided to a power control transmitter circuitry  
3     of said communication transceiver by controlling elements of said communication  
4     transceiver.

1     24.     The method of claim 22 wherein said power control commands are received  
2     from a basestation in response to signals received by said basestation from said  
3     communication transceiver.

1     25.     A mobile communication system comprising:  
2             a satellite positioning system (SPS) receiver which receives and processes  
3                     SPS signals from SPS satellites;  
4             a communication transceiver which receives and transmits a communication  
5                     signal;

6 a communication signal measurement (CSM) unit coupled to said  
7 communication transceiver and coupled to said SPS receiver, said  
8 CSM unit determining a change in said communication signal which  
9 specifies a manner for processing said SPS signals.

1     26.     The system of claim 25 wherein said change is a change in a power level  
2     determined by monitoring power control commands received by the communication  
3     transceiver over a communication link.

1     27.     The system of claim 25 wherein said change is a power level determined by  
2     monitoring power control commands provided to a power control transmitter circuitry  
3     of said communication transceiver by controlling elements of said communication  
4     transceiver.

1     28.     The method of claim 26 wherein said power control commands are received  
2     from a basestation in response to signals received by said basestation from said  
3     communication transceiver.

1 29. A method as in claim 21 wherein said communication signal is a cellular signal  
2 transmitted from a wireless cell site.

1 30. A method as in claim 29 wherein said cellular signal uses at least one of (a) a  
2 code-division multiple access (CDMA) technique to distinguish between different

1 36. A method as in claim 35 wherein said parameter is used in determining said  
2 pseudorange, and said change is a fading of said signal level.

1 38. A mobile communication system as in claim 37 wherein said communication  
2 signal uses one of (a) a code division multiple access (CDMA) or (b) a time division  
3 multiple access (TDMA) technique to distinguish between different communication  
4 transceivers.

39. A mobile communication system as in claim 37 wherein said change is a change in signal level as said mobile communication system moves and wherein said parameter is a motion information of said mobile communication system.

1 40. A mobile communication system as in claim 39 wherein said motion  
2 information determines a search range for acquiring SPS signals from at least one SPS  
3 satellite.

41. A mobile communication system as in claim 40 wherein when said motion information specifies a first velocity, said search range is a first range, and when said motion information specifies a second velocity, said search range is a second range.



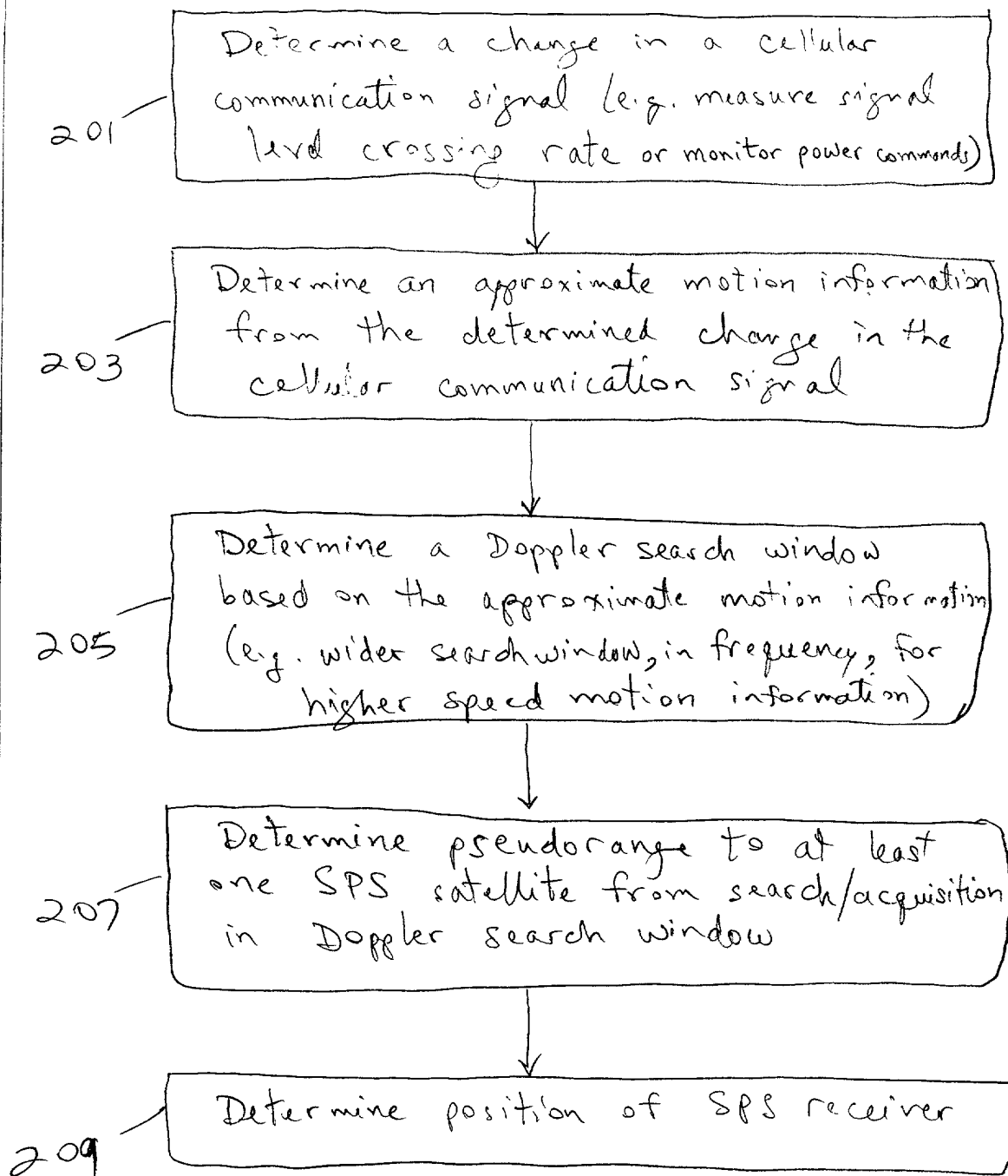
Methods and apparatuses for determining a position of a mobile satellite positioning system (SPS) receiver which is coupled to a communication receiver or transceiver. In one exemplary method, a change in a communication signal received

by the communication receiver is determined. A parameter, based on the change, is determined, and SPS signals from SPS satellites are processed according to the parameter. According to further details of this method, the change involves the fluctuation of the level of the communication signal and the parameter is a motion information which specifies a frequency range for searching for SPS signals in the process of acquiring the SPS signals from SPS satellites. In an alternative embodiment the change in the communication signal is a change in the transmitted signal in response to power control commands. Apparatuses, such as a mobile communication system which includes an SPS receiver and a communication receiver, are also described.

42-391 50 SHIRTS EYE-EASE® 5 SQUARE  
42-392 100 SHIRTS EYE-EASE® 5 SQUARE  
42-393 200 SHIRTS EYE-EASE® 5 SQUARE  
42-394 100 RECYCLED WHITE 5 SQUARE  
42-395 200 RECYCLED WHITE 5 SQUARE  
Made in U.S.A.



Fig. 2







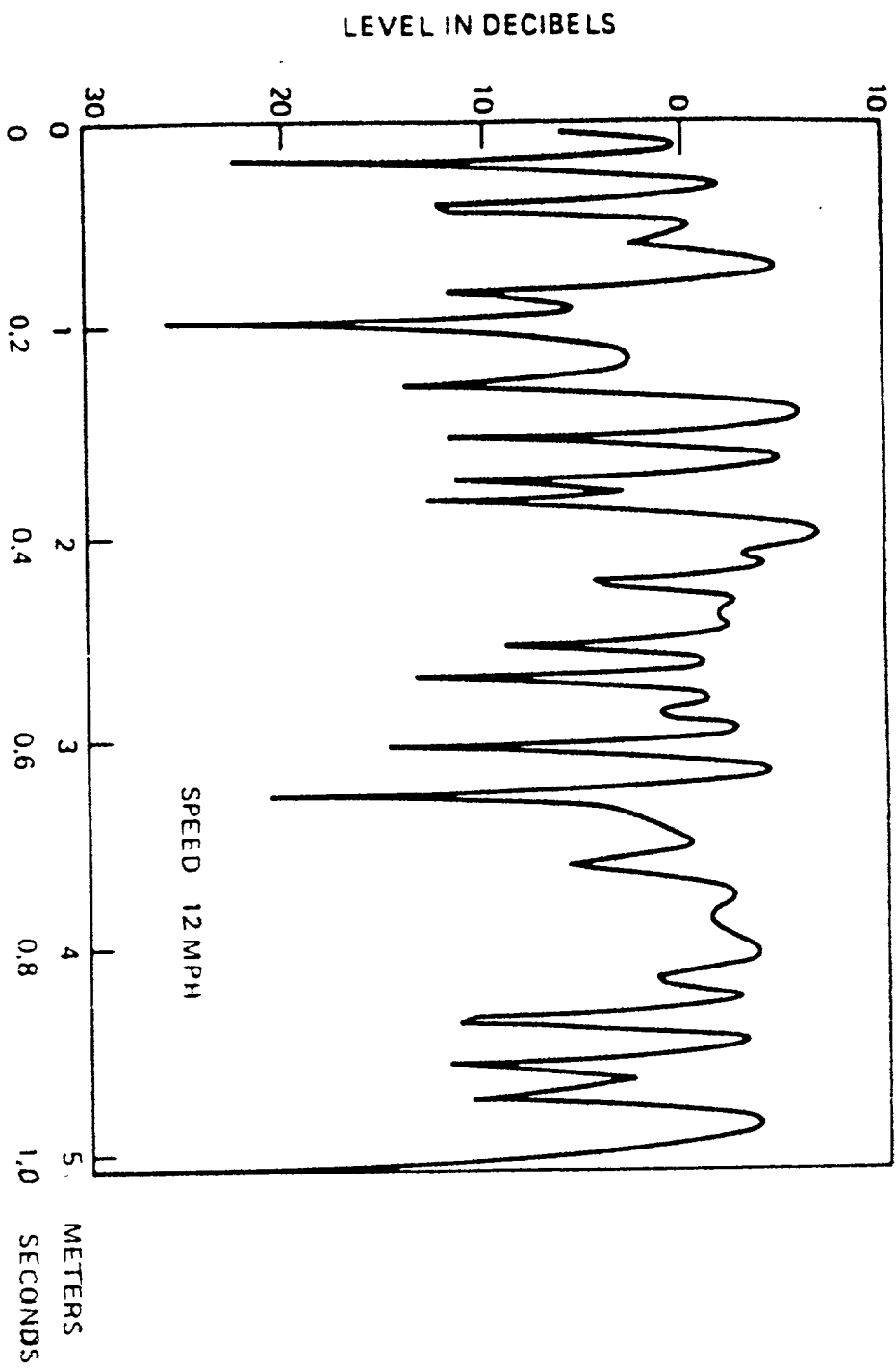


Fig. 4

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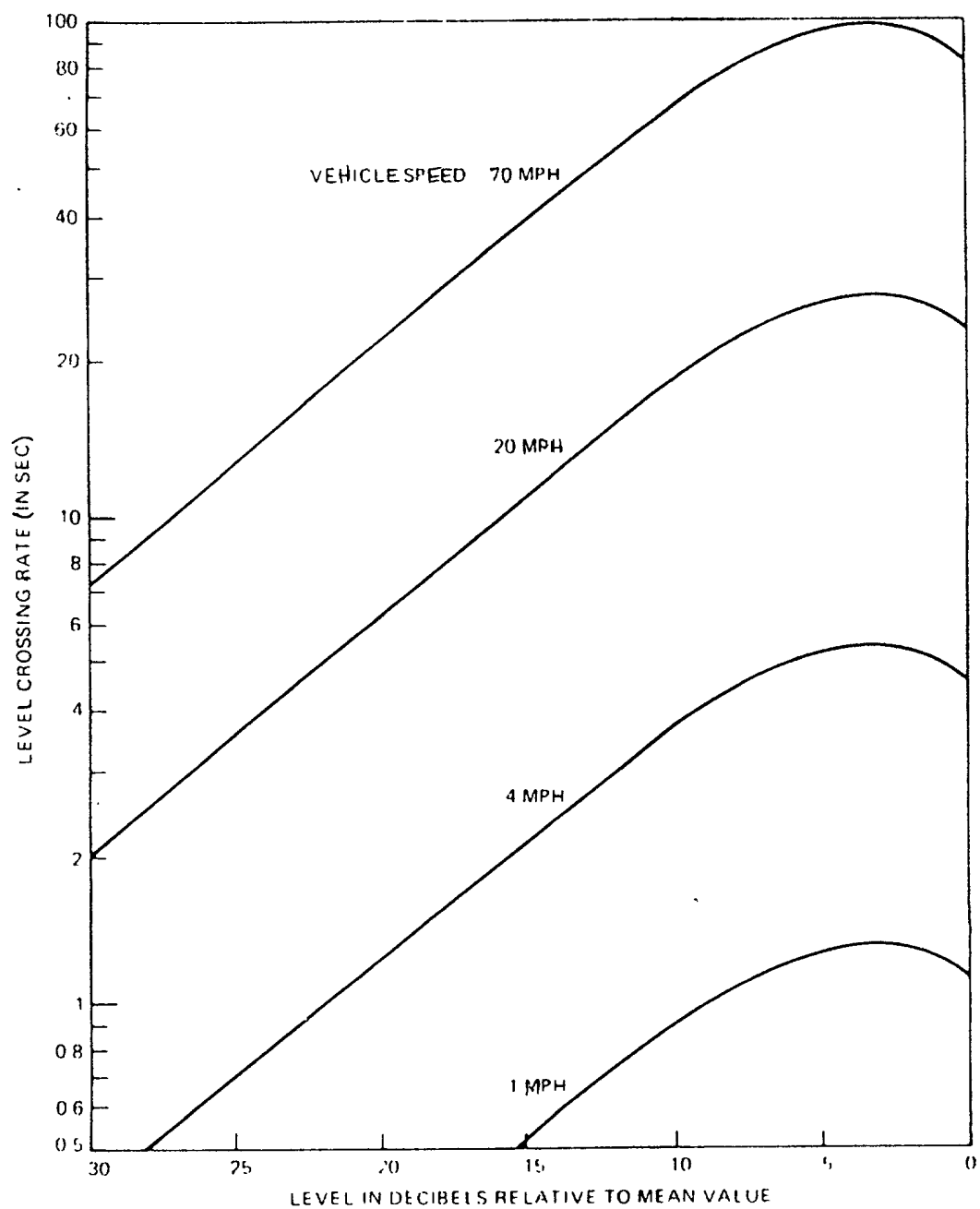


Fig. 5

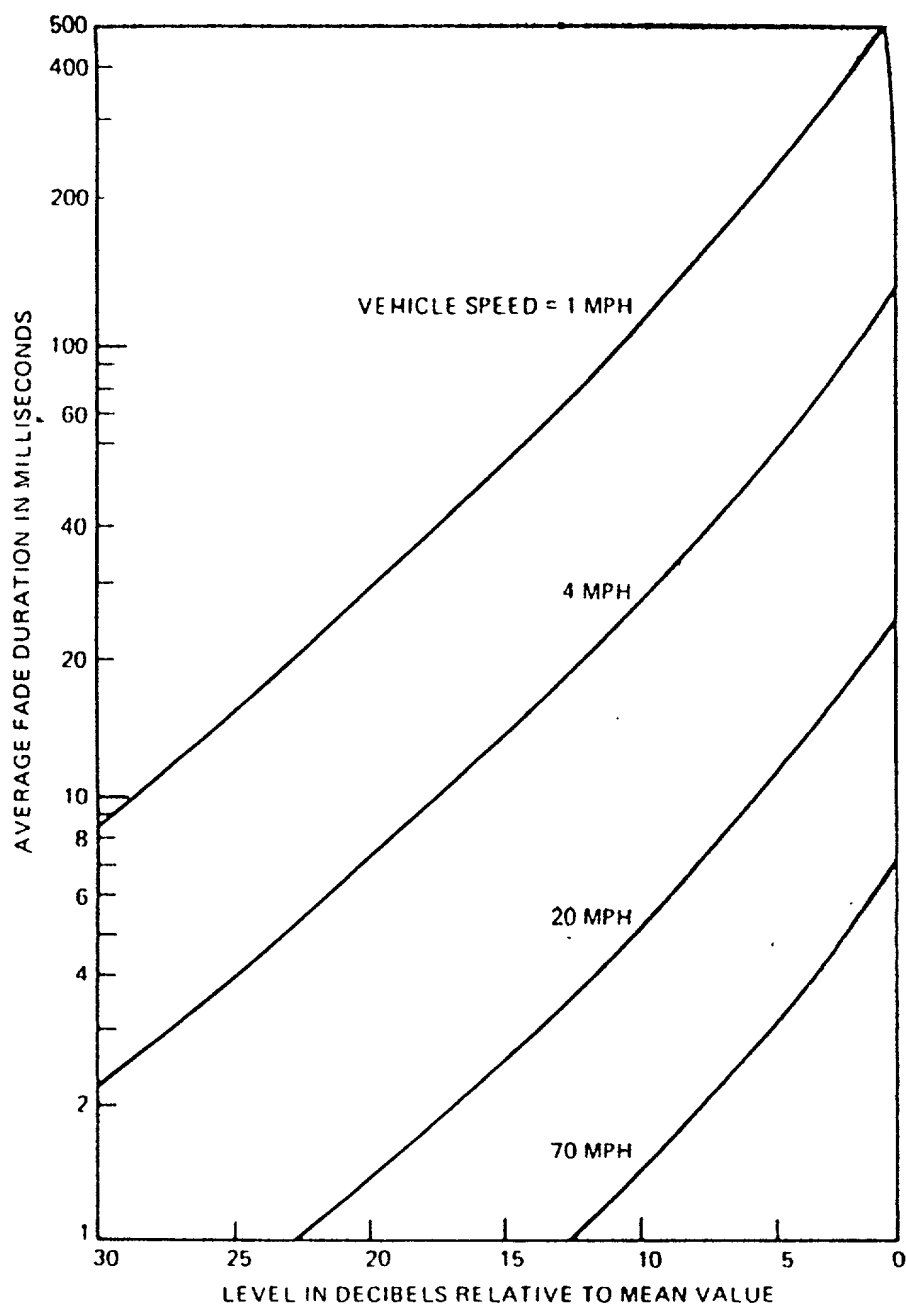


Fig. 6

PATENT

DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION  
(CONTINUATION-IN-PART)

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below, next to my name.

I believe I am the original, first, and sole inventor (if only one name is listed below) or an original, first, and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

# METHOD FOR DETERMINING A CHANGE IN A COMMUNICATION SIGNAL AND USING THIS INFORMATION TO IMPROVE SPS SIGNAL RECEPTION AND PROCESSING

the specification of which

X is attached hereto.  
\_\_\_\_\_ was filed on \_\_\_\_\_ as  
\_\_\_\_\_ United States Application Number \_\_\_\_\_  
or PCT International Application Number \_\_\_\_\_  
and was amended on \_\_\_\_\_  
(if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d), of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

<u>Prior Foreign Application(s)</u>			<u>Priority Claimed</u>	
<u>(Number)</u>	<u>(Country)</u>	<u>(Day/Month/Year Filed)</u>	<u>Yes</u>	<u>No</u>
<u>(Number)</u>	<u>(Country)</u>	<u>(Day/Month/Year Filed)</u>	<u>Yes</u>	<u>No</u>
<u>(Number)</u>	<u>(Country)</u>	<u>(Day/Month/Year Filed)</u>	<u>Yes</u>	<u>No</u>
<u>(Number)</u>	<u>(Country)</u>	<u>(Day/Month/Year Filed)</u>	<u>Yes</u>	<u>No</u>

I hereby claim the benefit under title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below:

<u>60/144.610</u>	<u>July 20, 1999</u>
Application Number	Filing Date
<u>Application Number</u>	<u>Filing Date</u>

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

Application Number	Filing Date	Status -- patented, pending, abandoned

I hereby appoint the persons listed on Appendix A hereto (which is incorporated by reference and a part of this document) as my respective patent attorneys and patent agents, with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith.

Send correspondence to James C. Scheller, Jr., BLAKELY, SOKOLOFF, TAYLOR &  
(Name of Attorney or Agent)  
ZAFMAN LLP, 12400 Wilshire Boulevard 7th Floor, Los Angeles, California 90025 and  
direct telephone calls to James C. Scheller, Jr., (408) 720-8598.  
(Name of Attorney or Agent)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of Sole/First Inventor Norman F. Krasner

Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_

Residence San Carlos, California Citizenship United States of America  
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## APPENDIX A

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## APPENDIX B

### Title 37, Code of Federal Regulations, Section 1.56 Duty to Disclose Information Material to Patentability

(a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclosure information exists with respect to each pending claim until the claim is cancelled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is cancelled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:

- (1) Prior art cited in search reports of a foreign patent office in a counterpart application, and
  - (2) The closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.
- (b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and
- (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or
  - (2) It refutes, or is inconsistent with, a position the applicant takes in:
    - (i) Opposing an argument of unpatentability relied on by the Office, or
    - (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

(c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:

- (1) Each inventor named in the application;
  - (2) Each attorney or agent who prepares or prosecutes the application; and
  - (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.
- (d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.